

1 **Volition and the Function of Consciousness**

2 (draft for book chapter to appear in Cognitive Neurosciences IV, Ed. Michael
3 Gazzaniga, *MIT press*)

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7

8 **Abstract**

9 What are the psychological functions that could only be performed
10 consciously? People have intuitively assumed that many acts of volition are not
11 influenced by unconscious information. These acts range from simple examples
12 such as making a spontaneous motor movement, to higher cognitive control.
13 However, the available evidence suggests that under suitable conditions,
14 unconscious information can influence these behaviors and the underlying neural
15 mechanisms. One possibility is that stimuli that are consciously perceived tend to
16 yield strong signals in the brain, which makes us think that consciousness has the
17 function of such strong signals. However, if we could create conditions where the
18 stimuli could yield strong signals but not the conscious experience of perception,
19 perhaps we would find that such stimuli are just as effective in influencing
20 volitional behavior. Future studies that focus on clarifying this issue may tell us
21 what the defining functions of consciousness are.

22

23 **Introduction**

24 Many acts of volition seem to require conscious effort. We consciously
25 initiate spontaneous motor movements. We cancel planned actions at will. We
26 deliberately avoid particular actions. We intentionally shift our action plans in
27 order to pursue different goals. Sometimes, theorists say, these are the functions
28 of consciousness, as if evolution has equipped us with the gift of consciousness
29 just to perform these acts. Without consciousness, presumably, we would only be
30 able to perform much simpler actions that are no more sophisticated than
31 embellished reflexes.

32 In this chapter we review available evidence to see if these intuitive claims
33 are empirically supported. Recent studies in cognitive neuroscience suggest that
34 many of these complex processes can actually be performed without
35 consciousness. Or at least, many of them can be directly influenced by
36 unconscious information. This calls into question the true function of
37 consciousness, if not to enable us to deliberate our actions. We end by discussing
38 what is logically required for an experiment to demonstrate the true function of
39 consciousness.

40

41 **Spontaneous Motor Initiation**

42 Motor actions that are made not in immediate or direct response to

43 external stimuli can be said to be spontaneously initiated. These are also
44 sometimes called self-paced or self-generated actions. For instance, one may
45 choose to casually flex one's wrist while sitting in a dark room, out of one's own
46 free choice and timing, not to react to anything in particular. Some philosophers
47 have argued that in cases like that, it should seem obvious that the action is caused
48 by one's conscious intention (Searle 1983). Whereas one may argue that fast
49 reactions to external stimuli may be driven by unconscious reflex (e.g. a runner
50 leaping forward upon hearing the starting whistle), spontaneous actions do not
51 seem to have any immediate cause but the conscious intention itself.

52 However, it has been shown that there is preparatory activity in the brain
53 that starts at as early as 1-2 seconds before spontaneous actions are executed. This
54 piece of one of the most perplexing findings in cognitive neuroscience was
55 originally reported by Kornhuber and Deecke in the 1960s (Kornhuber and
56 Deecke, 1965). They placed electrodes on the scalp to measure
57 electroencephalography (EEG) while subjects made spontaneous movements at
58 their own timing. The EEG data that were time-locked to the point of motor
59 execution (as measured by muscle contraction indicated by electromyography,
60 EMG) were averaged over many trials, which produced an event-related potential
61 (ERP) known as the *Bereitschaftspotential* (BP) or readiness potential (RP). The
62 readiness potential is slowly rising, peaking at around the point of action
63 execution and starting from 1-2 seconds before that (fig 1). The readiness

64 potential is most pronounced at electrodes near the vertex (Cz in the EEG
65 coordinate system), which is directly above the medial premotor areas (including
66 the supplementary motor area, SMA, pre-supplementary motor area, pre-SMA,
67 and the cingulate motor areas below them). It is generally believed that one major
68 source of the readiness potential lies in the medial premotor areas (Ball et al.,
69 1999; Erdler et al., 2000; Weilke et al., 2001; Cunnington et al., 2003).

70 The demonstration of the readiness potential calls into question whether
71 spontaneous movements are really caused by the preceding conscious intentions.
72 Intuitively, conscious intentions seem to cause motor actions almost immediately
73 - it seems to take much less time than 1-2 seconds. This could mean that the brain
74 starts to prepare for the actions way before we consciously initiate them.

75 Benjamin Libet and colleagues empirically studied the timing of the
76 conscious intention in relation to the readiness potential and the action (Libet et
77 al., 1983). To measure the onset of conscious intention, he invented a creative but
78 controversial paradigm which is sometimes called the "Libet clock paradigm". In
79 those studies, subjects watched a dot revolving around a clock face at a speed of
80 2.56 second per cycle, while they flexed their wrist spontaneously (fig 2). After
81 the action was finished, subjects were required to report the location of the dot
82 when they "first felt the urge" to produce the action, i.e. the onset of intention.
83 The subject might say it was at 3 o'clock or 4 o'clock position when they first felt
84 the intention, for instance. This way the subjects could time and report the onset

85 of their intention, and the experimenter could then work out actually when the
86 action was produced, and hence the temporal distance between the two. Libet and
87 colleagues reported that subjects on average report the onset of intention to be
88 about 250 ms before motor execution.

89 Many people feel uncomfortable with the fact that the onset of the
90 readiness potential seems to be so much earlier than the onset of intention, and
91 some have tried to explain away the gap. Libet and colleagues have tried to study
92 the onset of the readiness potential more carefully, discarding trials which might
93 have been "contaminated" by pre-planning of action well before the action (for
94 instance, by counting to 10 and then triggering the movement), as reported by the
95 subjects. By only looking at the trials where the actions were supposed to be
96 genuinely spontaneous, Libet and colleagues reported that the onset of the
97 readiness potential is only about 500 ms before action execution (Libet et al.,
98 1983). However, this is still clearly earlier than the reported onset of intention.
99 And by discarding so many trials, it may be that the analysis just lacked the power
100 to detect an earlier onset.

101 Some have argued that the onset of readiness potential might be an artifact
102 due to the averaging needed to produce the ERP (Miller and Trevena, 2002).
103 However, Romo and Schultz (Romo and Schultz, 1987) have recorded from
104 neurons in the medial premotor areas while monkeys made self-paced
105 movements. It was found that some of neurons in this region in fact fired as early

106 as 0.6 – 2.6 seconds before movement onset. From the reported results it was also
107 clear that this pattern of early firing for these neurons was consistent across trials.
108 One other recent study has reported that the spatial pattern of fMRI activity from
109 this region, at up to 5 seconds before action, can statistically predict the timing of
110 action above chance level (Soon et al., 2008).

111 Others have argued that the readiness potential may not reflect the specific
112 and causal aspects of motor initiation. However, as mentioned earlier, it is likely
113 that the readiness potential partly originates from the medial premotor areas.
114 Lesion to these areas can abolish the production of spontaneous actions (Thaler et
115 al., 1995). These areas also contain neurons that code specific action plans (Shima
116 and Tanji, 1998; Tanji and Shima, 1996). Further, when people use the Libet
117 clock paradigm to time their own intentions, there is attentional modulation of
118 activity in the medial pre-SMA (Lau et al., 2004), as if people were reading
119 information off the area which is likely to be a source of the readiness potential.

120 The Libet clock method has also received considerable criticism. It
121 involves timing across modalities, and could be susceptible to various biases
122 (Libet, 1985; Gomes, 1998; Joordens et al., 2002; Klein, 2002; Trevena and
123 Miller, 2002). However, it is unlikely that all these biases are in the direction that
124 would help to narrow the gap between the onsets of the readiness potential and
125 intention. Some have actually suggested that the different biases may point to
126 different directions and thus just cancel each other out (Klein 2002). Also, in the

127 original experiments by Libet and colleagues, there were control conditions that
128 tested for the basic accuracy of the clock. They asked subjects to use the clock to
129 time either the onset of movement execution, or in another condition to time the
130 onset of tactile stimuli presented externally by the experimenter. Since the actual
131 onsets of these events are objectively measurable, they could estimate the
132 subjective error of onset reports produced by the clock method. They found the
133 error to be in the order of about 50 ms, e.g. people misestimate the time of action
134 execution to be 50 ms earlier than it actually is. This size of error is considerably
135 much smaller than the gap between the onsets of the readiness potential and
136 intention.

137 The basic results of Libet and colleagues have also been replicated in
138 several different laboratories (e.g. Lau et al., 2004; Haggard and Eimer, 1998;
139 Soon et al., 2008). In general, the same pattern is found, that the onset of intention
140 is either around or later than 250 ms before action execution, which seems to
141 confirm our intuition that conscious intentions seem to be followed by motor
142 actions almost immediately. In fact, given that the readiness potential starts as
143 early as 1-2 seconds before action execution, it is hard to imagine how the onset
144 of intention could coincide or precede the readiness potential, unless one thinks of
145 intention as a kind of prior intention (Searle, 1983), like the general plan that is
146 formed at the beginning of the experimental session when the subject agrees to

147 produce some actions in the next half an hour or so. We shall discuss this kind of
148 higher-cognitive "intention" later in the chapter. However, the intention we are
149 concerned with here is the immediate "urge" to produce the motor action (Libet et
150 al., 1982).

151 Taken together, the evidence suggests that conscious intention, i.e. the
152 immediate feeling of motor initiation, is unlikely to be the "first unmoved mover"
153 in triggering spontaneous motor movements. It is likely to be preceded by
154 unconscious brain activity that may contribute to action initiation. What, then, is
155 conscious intention for?

156

157 **Conscious Veto?**

158 Libet's interpretation of the timing-of-intention results is that although
159 intention may not be early enough to be the first cause of action, the fact that it is
160 before action execution means that it could still be part of the causal chain. Maybe
161 the decision to move is initiated unconsciously, but the awareness of intention
162 may allow us to "veto", i.e. to cancel the action.

163 This seems to be a possibility. Libet and colleagues (Libet et al., 1983) as
164 well as other researchers (Brass and Haggard, 2007) have performed experiments
165 where subjects prepare for an action and then cancel it in the last moment, just
166 before it is executed. The fact that we have the ability to "veto" an action seems
167 beyond doubt. The question, however, is whether having the conscious intention

168 is critical. Can the choice of veto be preceded by unconscious activity, just like
169 the intention to act is preceded by the readiness potential? Or maybe sometimes
170 actions are unconsciously vetoed, even without our awareness?

171 Some recent evidence suggests that the conscious intention may not
172 facilitate a veto. As mentioned earlier, when people were using the Libet clock to
173 time the onset of their intentions, there was attentional modulation of activity in
174 the pre-SMA (Lau et al., 2004). These data have been subsequently further
175 analyzed (Lau et al., 2006), and it has been shown that subjects who showed large
176 degree of attentional modulation tended to also report the onset of intention to be
177 early. One interpretation could be that attention biases the judgment of onset to be
178 earlier. It was found in another experiment that this was also true when people
179 used the Libet clock to time the onset of the motor execution. The higher the level
180 of fMRI activity modulated by attention, the earlier subjects reported the onset to
181 be, even though on average subjects reported the onsets to be earlier than they
182 actually were, which means a bias to the negative (i.e. early) direction produced
183 more erroneous rather more precise reports. In general, the principle of attentional
184 prior entry (Shore et al., 2001) suggests that attention to an event speeds up its
185 perception and negatively biases the reported onset. If this were true in the case of
186 the Libet experiments, this could mean that attention might have exaggerated the
187 250ms onset, i.e. had subjects not been required to attend to their intentions in
188 order to perform the timing tasks, the true onset of conscious intention may well

189 be much later than 250ms prior to action execution. This calls into question
190 whether we have enough time to consider the veto.

191 Another study reported that some patients with lesion to the parietal cortex
192 reported the onset of intention to be late as 50 ms prior to action execution (Sirigu
193 et al., 2004). If the awareness of intention allows one to veto actions, one might
194 expect these patients to have much less time to consciously evaluate spontaneous
195 intentions and cancel the inappropriate ones. This could be quite disastrous to
196 daily life functioning. Yet there were no such reports about these patients.

197 Finally, in another study (Lau et al., 2007), single pulses of transcranial
198 magnetic stimulation (TMS) were sent to the medial premotor areas (targeting the
199 pre-SMA). Again, subjects were instructed to produce spontaneous movements
200 and to time the onset of intentions and movement execution using the Libet clock.
201 Surprisingly, although TMS was applied *after* motor execution, it has an effect on
202 the reported onsets. No matter whether TMS was applied immediately after action
203 execution or with a 200 ms delay, the stimulation exaggerated the temporal
204 distance between the reported onsets of intention and movement, as if people
205 reported a prolonged period of conscious intending. One interpretation may be
206 that TMS injected noisy activity into the area and the intention monitoring
207 mechanism did not distinguish this from endogenously generated activity that is
208 supposed to represent intention. However, what is crucial is the fact that the
209 reported onsets can be manipulated even after the action is finished. This seems to

210 suggest that our awareness of intention may be constructed after the facts, or at
211 least not completely determined before the action is finished. If conscious
212 intentions are not formed before the action, they certainly cannot play any role in
213 facilitating veto, let alone causing it.

214 This interpretation may seem wild, but it is consistent with other
215 proposals. For instance, on the basis of many ingenious experiments manipulating
216 subject's sense of agency, Wegner (2002) has suggested that the conscious will is
217 an illusion. The sense of agency is often inferred *post hoc*, based on many
218 contextual factors. Wegner cites experiments to support these claims. One
219 example is a study on "facilitated communication" (Wegner et al., 2003). Subjects
220 (playing the role of "facilitators") were asked to place their fingers on two keys of
221 a keyboard, while a confederate (playing the role of "communicator") placed his
222 or her fingers on top of those of the subject. Subjects were given headphones with
223 which they listened to questions of varying difficulty. Confederates were given
224 headphones as well, and subjects were led to believe that the confederates would
225 be hearing the same questions, although in fact the confederates heard nothing.
226 Subjects were told to detect subtle, unconscious movements in the confederate's
227 fingers following each question. When such movements were detected, the
228 subject should press the corresponding key in order to answer on the confederate's
229 behalf. It was found that subjects answered easy questions well above chance
230 levels. If they had performed the task strictly according to the instructions,

231 however, they should have performed at chance. Therefore, subjects must have
232 been directing their own key presses. Nonetheless, they attributed a significant
233 causal role for the key presses to the confederate. The degree to which subjects
234 answered easy questions correctly was not correlated with the degree to which
235 they attributed causal responsibility to confederates, suggesting that the
236 generation of action and attribution of action to an agent are independent
237 processes.

238 To summarize, although theorists have speculated that the awareness of
239 intention may play some role in allowing us to cancel or edit our actions,
240 considerable doubt has been cast by recent empirical evidence.

241

242 **Exclusion and Inhibition**

243 Another kind of situation that seems to require conscious deliberation
244 involves the need to avoid a particular action or response. This is related to
245 “vetoing” as described above, except that the action being inhibited is not
246 necessarily self-paced, and may be specified externally. One example would be to
247 perform stem completion while avoiding a particular word. So for instance, the
248 experimenter may ask the subjects to produce any word starting with letter D (i.e.
249 completing a ‘stem’), but avoid the word ‘dinner’. So subjects can produce ‘dog’,
250 ‘danger’, ‘dear’, etc., but if they produce the word ‘dinner’, it would be counted
251 as an error. This is called the exclusion task (Jacoby et al., 1992).

252 One interesting aspect of the exclusion task is that people can perform
253 well only if they clearly see and remember the target of exclusion (i.e. the word
254 ‘dinner’ in the foregoing example). If the target of exclusion is presented very
255 briefly and followed by a mask, such that it was only very weakly perceived,
256 people may fail to exclude it (Debner and Jacoby, 1994; Merikle et al., 1995). In
257 fact, they tend to produce exactly the word they should be avoiding with higher
258 likelihood than if they were not presented with the word at all. It has been argued
259 that this exclusion failure phenomenon is the hallmark of unconscious processing
260 (Jacoby et al., 1992). The weak perception of the target probably produced a
261 representation for the word, but because the signal is not strong enough to reach
262 the level of conscious processing, subjects are unable to inhibit the corresponding
263 response.

264 In addition to the intuitive appeal, the notion that consciousness is
265 required for exclusion is also supported by a case study of a blindsight patient
266 (Persaud and Cowey, 2007). Subject GY has a lesion to the left primary visual
267 cortex (V1), and reports that most of his right visual field is subjectively blind.
268 However, in forced-choice situation he can discriminate simple stimuli well above
269 chance level in his “blind” field (Weiskrantz, 1986; Weiskrantz, 1999). In one
270 study he was required to perform an exclusion task (Persaud and Cowey, 2007),
271 i.e. to say the location (up or down) where the target was *not* presented. Whereas

272 he could do this easily in the normal field, he failed the task when stimuli were
273 presented to his blind field. Note that he was significantly worse than chance in
274 the blind field, as if the unconscious signal drove the response directly and
275 inflexibly, defying exclusion control. This seems to support the account that
276 consciousness is required for exclusion.

277 The general idea that inhibition requires consciousness seems to be
278 supported by other studies too, including those that do not employ the exclusion
279 paradigm. One study tested subjects' ability to ignore distracting moving dots,
280 while doing a central task that has nothing to do with the distractors (Tsushima et
281 al., 2006). It was found that if the motion of the distractor was above the
282 perceptual threshold, people could ignore the dots and inhibit the distraction
283 successfully. Somewhat paradoxically, when the motion was below perceptual
284 threshold, people could not ignore the dots and were distracted. The results from
285 brain imaging seem to suggest that when the motion of the stimuli was strong, it
286 activated the prefrontal cortex, and triggered it to suppress the motion signal.
287 When the motion of the stimuli was below perceptual threshold, however, the
288 signal failed to trigger the inhibitory functions in the prefrontal cortex, and
289 therefore the motion signal were not suppressed and thus remained distracting.

290 However, the notion that flexible control or inhibition of perceptual signal
291 requires consciousness is not without its critics (Snodgrass 2002; Haase and Fisk,

292 2001; Visser and Merikle, 1999). One problem becomes clear when we consider
293 the motion distractor example above. "Conscious signal" here seems to be the
294 same thing as a strong signal, driven by larger motion strength in the stimuli.
295 Obviously, signals have to be strong enough to reach the prefrontal cortex in order
296 to trigger the associating executions functions. Do unconscious stimuli fail to be
297 excluded because we are not conscious of them, or is it just because the signal is
298 not strong enough? Or, are the two explanations one and the same? Not all study
299 are subject to this argument. For instance, in the blindsight study mentioned above
300 (Persaud and Cowey 2007), the subject failed to exclude in the blindfield even
301 when the contrast level would give a performance that was similar to that in the
302 normal visual field. So if we take forced-choice performance as an index of signal
303 strength, the signal from the blindfield was not weak in this sense. However, in
304 most other cases we often take awareness to be the same as good performance.
305 Are we justified to do so? This is an important issue and we will come back to
306 this in the final section of the chapter.

307 Other researchers have reported evidence that seems to support
308 unconscious inhibition. For instance, in one study (Snodgrass and Shevrin, 2006)
309 people were asked to detect visually presented words. In certain conditions, some
310 subjects showed detection performance that was significantly *worse* than chance.
311 These words were presented so briefly that typically detection performance would
312 be near chance. We usually take chance-level as the objective threshold for

313 conscious perception. Below chance-level performance could be taken as
314 evidence that the subjects did not consciously perceive the words. And yet, if they
315 had no information at all regarding the words, performance should just be exactly
316 at chance rather than below. It seems that these subjects were actively suppressing
317 the words.

318 These are unusual cases and are somewhat hard to interpret. We take
319 chance-level as the objective threshold for conscious perception because when
320 people perform at chance, it indicates that they do not have the explicit
321 information regarding the target of perception. However, if people perform
322 significantly below chance, it means that somehow they have the information
323 regarding the detection, which violates the very logic we adopt to label perception
324 unconscious. But in any case, the stimuli were supposed to be really weak, and it
325 is intriguing that some subjects seem to be automatically suppressing the words.
326 Are we to take these somewhat unusual cases as evidence to reject the notion that
327 exclusion or inhibition requires consciousness? It seems that, logically, if we
328 claim that a certain function *requires* consciousness, we should predict there will
329 never be a case where one could perform such function unconsciously. How
330 seriously are we to take this logic and reject functions as requiring consciousness
331 by a single experiment? We will return to this argument in the last section of the
332 chapter.

333

334 **Top-Down Cognitive Control**

335 So far we have discussed acts of volition that are relatively simple, like
336 starting a motor movement, or avoiding a particular action. Sometimes we also
337 voluntarily prepare for a set of rules or action plans in order to satisfy a more
338 abstract goal in mind. For instance, a telephone ring may usually trigger a
339 particularly action, e.g. to pick up the phone. However, when one visits friends at
340 their homes, one may deliberately change the mapping between the stimulus
341 (telephone ring) and action, i.e. it would be more appropriate to sit still, or ask the
342 host to pick up the phone, rather than picking it up oneself. This volitional change
343 of stimulus-response contingency is an example of top-down cognitive control.

344 It has been suggested that top-down cognitive control may require
345 consciousness (Dehaene and Naccache, 2001). The idea is that unconscious
346 stimuli can trigger certain prepared actions, as demonstrated in studies in
347 subliminal priming (Kouider and Dehaene, 2007). However, the preparation or
348 setting up of the stimulus-response contingency may require consciousness.

349 However, recent studies suggest that this might not be true, in the sense
350 that unconscious information seems to be able to influence or even trigger top-
351 down cognitive control too (Mattler, 2003; Lau and Passingham, 2007). In one
352 study subjects had to prepare to do a phonological or semantic judgment, based on
353 the orientation of a figure they saw (fig 3). In every trial, if they saw a square,

354 they had to prepare to judge whether an upcoming word has two syllables (e.g.
355 "table") or not (e.g. "milk"). If they saw a diamond, they had to prepare to judge
356 whether an upcoming word refers to a concrete object (e.g. "chair") or an abstract
357 idea (e.g. "love"). In other words, they had to perform top-down cognitive control
358 based on the instruction figure (square or diamond). However, before the
359 instruction figure was presented, there was actually an invisible prime figure,
360 which could also be a diamond or a square. It was found that the prime could
361 impair subjects' performance when it suggested the alternative (i.e. wrong) task to
362 the subjects (incongruent condition). One could argue that this was only because
363 the prime distracted the subjects on a perceptual level, and did not really trigger
364 cognitive control. However, the experiment was performed in the fMRI scanner,
365 and the brain recordings suggest that when being primed to perform the wrong
366 task, subjects used more of the wrong neural resources too (Lau and Passingham,
367 2007). That is, areas that are more sensitive to phonological or semantic
368 processing showed increased activity when the explicit instruction figure made
369 subjects perform the phonological and semantic tasks respectively. The invisible
370 primes also seem to be able to trigger activations in task sensitive areas. This
371 seems to suggest that they can influence or exercise top-down cognitive control.

372 Another study examines how unconscious information affects our high-
373 level objectives by focusing on how the potential reward influences our level of
374 motivation (Pessiglione et al., 2007). Subjects squeezed a device to win a certain

375 amount of money. The harder they squeezed, the more money they would win.
376 However, the size of the stake in question for a particular trial was announced in
377 the beginning by presenting the photo of a coin. The coin could either be a British
378 pound (~2 US dollars) or a penny (~2 US cents), and it signified the monetary
379 value of the maximal reward for that trial. Not surprisingly, people squeezed
380 harder when the stakes were high, but interestingly, the same pattern of behavior
381 was observed even when the figure of the coin was masked such that subjects
382 reported not seeing it. This suggests that unconscious information can influence
383 our level of motivation as well.

384 If unconscious information alone is sufficient to exercise all these
385 sophisticated top-down control functions, why do we need to be conscious at all?
386

387 **How to find the true function of consciousness?**

388 The foregoing is not meant to be an exhaustive review of all studies on the
389 potential functions of consciousness. We select some examples from a few areas
390 that are particularly related to volition, and discuss what role consciousness may
391 play. It may, of course, be that there are other psychological functions that require
392 consciousness.

393 Yet, one cannot help but feel that there seems to be some inherent
394 limitation to this whole enterprise of research. If we claim that a certain function

395 requires consciousness, strictly speaking, the interpretation could be that the
396 function should never be able to be performed unconsciously. Of course, one
397 could make the weaker claim that a certain function is usually or most suitably
398 performed consciously, and when consciousness fails, unconscious processing can
399 act as a backup. This is similar to arguing that one function of having legs is to
400 facilitate locomotion; if we lose our legs, we could still move around, albeit
401 poorly. However, let us assume that one is to make the stronger prediction that
402 such functions should never be able to be performed unconsciously. In principle,
403 it would only take a single experiment to falsify that. This explains why this
404 review may seem biased in that we focus on studies that show the power of the
405 unconscious, rather than studies demonstrating what functions definitely require
406 consciousness. In principle, falsifying the claim that a certain function requires
407 consciousness is straightforward. But this is not the case for demonstrating
408 functions that would always require consciousness.

409 One can, of course, try to show that subjects could normally do a task if
410 the relevant information is consciously perceived. And then one tries to 'knock-
411 out' the conscious perception for such information, and try to show that the task
412 could no longer be performed, or that it is performed at an additional cost, i.e.
413 slower or with more errors. But how would one know that in 'knocking-out' the
414 conscious perception, one does not 'knock-out' too much? One typically

415 suppresses conscious perception by visual masking, by using brief presentation,
416 by distracting the subject, by applying transcranial magnetic stimulation, by
417 pharmacological manipulations, etc. But all of these could potentially impair the
418 unconscious as well as the conscious signal. Maybe in cases where the perception
419 has been rendered unconscious, the signal is just no longer strong enough to drive
420 the function in question? This would mean that, in principle, it would be possible
421 for a future study to find the optimal procedure or setup to just render the
422 information unconscious, without reducing the signal strength too much. And in
423 that case the subjects may be able to perform the task in question. That would
424 falsify our claim.

425 This means that in looking for functions that require consciousness, we
426 need to adopt some different strategies. One potentially useful approach is to try
427 to demonstrate something akin to a "double dissociation". When conscious
428 perception is suppressed, we often find that a sophisticated function (e.g. top-
429 down cognitive control) can no longer be performed, though some simpler
430 function (e.g. priming for a prepared motor response) may still be activated by
431 unconscious information. From the foregoing discussion, one could see that this
432 may not be as surprising or informative as it seems. It could be just that the
433 unconscious signal is just too weak to drive the relatively sophisticated function.
434 A demonstration of the opposite would, however, be much more convincing: If

435 after suppression of conscious perception, the subjects can still perform a rather
436 sophisticated function, but fail to perform a simple function, that would suggest
437 that the simple function really requires consciousness. In this case, it could not be
438 that the suppression of conscious perception has taken away too much of the
439 signal strength, because if that were the case then the subjects should not be able
440 to perform the relatively sophisticated function (fig 4). Understanding this
441 “double dissociation” approach help us to see the logic behind how we could deal
442 with signal strength as a confounding variable. However, one problem is that it is
443 unclear what is most convincing way to define “sophisticated/complicated”
444 functions vs “simple” functions.

445 An alternative approach may be to directly match for signal strength
446 between the conscious and the unconscious conditions. This might seem difficult
447 because conscious signals may seem to be strong in general. However, as
448 discussed above, blindsight subjects can perform forced-choice discrimination on
449 visual stimuli well above chance, even when they claim that conscious awareness
450 is missing. Forced-choice performance is often taken as an objective estimate of
451 signal strength; the detection theoretical measure d' is mathematically just the
452 signal-to-noise ratio. In blindsight subject GY, where only half of the visual field
453 lacks awareness, we can imagine presenting weak stimuli to the normal visual
454 field such that forced-choice performance would match that in the blind field
455 (Weiskrantz et al., 1995). This way we can test if certain functions cannot be

456 performed based on information presented to the blind field, which may shed light
457 on when consciousness is required.

458 One may argue that blindsight patients are rare and the way their brains
459 process visual information may not generalize to intact brains. However, there are
460 other paradigms where in normal subjects one could match for forced-choice
461 performance, and yet produce a difference in the level of conscious awareness.
462 For instance, in one study (Lau and Passingham, 2006) metacontrast masking was
463 used to create similar conditions where forced-choice discrimination accuracy for
464 the visual targets were matched, and yet the subjective reports of how often
465 subjects saw the identity of the targets differed (fig 5). One could imagine
466 presenting these stimuli to subjects and seeing if they drive a certain function with
467 different effectiveness. If the subjects perform better in the condition where
468 subjective conscious awareness of the stimuli is more frequent, one could argue
469 that this function is likely to depend critically on consciousness.

470

471 **Conclusion**

472 Acts of volition are accompanied by a sense of conscious effort or
473 intention. The fact that we feel the conscious effort is not in doubt. What is less
474 clear is whether the processes underlying the conscious experience directly
475 contribute to the execution of the actions, in a way that is not accomplished by
476 unconscious processes just as effectively. The general picture seems to be that

477 many sophisticated functions can be performed unconsciously or driven by
478 unconscious information.

479 Does this mean that consciousness has no special function at all? The
480 answer is not yet clear. It is likely that some psychological functions do require
481 consciousness. That is, there may be some functions that can only be performed
482 poorly with unconscious information. Or, there may even be functions that can
483 never be performed unconsciously. But experiments have not yet been able to
484 convincingly pin them down.

485 They will have to overcome the following problem. If we assume that
486 conscious perception is always accompanied by stronger and longer-lasting
487 signals that are more effective than unconscious signals in propagating themselves
488 throughout the brain, then certainly, consciousness would certainly be associated
489 with the functions of these strong signals. However in studies of blindsight
490 (Weiskrantz et al., 1995) as well as in normals (Lau and Passingham, 2006) it has
491 been shown that signal strength as indicated by forced-choice performance is not
492 always one and the same as conscious awareness. Therefore, future studies may
493 need to focus on identifying the functions that really cannot be performed
494 unconsciously, even when the signal strength is sufficiently strong. This may help
495 to reveal the true function of consciousness.

496

497 **Acknowledgements**

498 The author thanks David Rosenthal and Uriah Kriegel for comments.

499

500 **Figures**

501 **Figure 1.** A schematic depiction of the readiness potential (RP) preceding
502 spontaneous movements. The RP is usually recorded at the top of the scalp, above
503 medial frontal premotor areas. It gradually ramps up, beginning about 1-2 seconds
504 before movement and peaking around the time of movement execution (marked
505 as time = 0 above).

506

507 **Figure 2.** The Libet clock paradigm. **A.** The subject views a dot rotating slowly
508 (2.56 seconds per cycle) around a clock face and waits for an urge to move to
509 arise spontaneously. When the urge arrives, the subject makes a movement (e.g. a
510 key press). **B.** After making the movement, the subject estimates the earliest time
511 at which the intention to move was experienced. To carry out this time estimate,
512 the subject either verbally indicate the location of the dot where the intention was
513 first felt, or move a cursor to that location (as in this example). In a common
514 control condition, the subject uses the clock to estimate the time of movement
515 rather than the onset of intention. Figure edited and adapted from Lau et al., 2007.

516

517 **Figure 3.** Experimental paradigm of Lau and Passingham (2007). Subjects view

518 briefly presented words and perform either a phonological task (is the word one
519 syllable or two syllables?) or a semantic task (does the word name something
520 concrete or abstract?). Before word presentation, subjects are instructed which
521 task to perform on a given trial by a visual symbol (a square for the phonological
522 task, or a diamond for the semantic task). The symbolic instruction itself acts as a
523 metacontrast mask for an earlier prime, also a square or a diamond. Because the
524 prime is briefly presented and masked, it is not consciously perceived. On half of
525 trials, the prime is congruent with the instruction and on the other half,
526 incongruent. Behavioral and imaging results suggest that the unconscious primes
527 affected top-down task switching. When primes were incongruent with
528 instructions, accuracy fell, reaction time increased, and brain regions
529 corresponding to the task indicated by the prime were partially activated (all
530 relative to the prime-congruent condition). But when the stimulus onset
531 asynchrony (SOA) between prime and instruction was lowered, such that primes
532 became visible, the priming effect was not evident. This double dissociation
533 suggests that the interference of incongruent primes on task switching cannot be
534 attributed to conscious processing. Figure adapted from Lau and Passingham,
535 2007.

536

537 **Figure 4.** (A) The normal situation for conscious perception. Stimuli are strong
538 enough to drive processes of different complexity. (B) A typical situation for

539 unconscious perception. Stimuli are weak such that complicated processes are no
540 longer activated, though simple processes can still be triggered. It could be argued
541 that this is not surprising as we may expect that complicated processes require a
542 stronger signal. (C) A potentially more informative situation. If one could find a
543 stimulus that is not consciously perceived, but yet is sufficiently strong to trigger
544 a complicated process, then the relatively simple process that the stimulus does
545 not drive would seem to critically depend on consciousness.

546

547 **Figure 5.** Inducing "relative blindsight" in normal observers using metacontrast
548 masking. **A.** Metacontrast masking paradigm. The subject is presented with a
549 visual target (in this case, either a square or diamond). Afterwards, a metacontrast
550 mask is presented. The mask differentially affects discrimination accuracy and
551 visual awareness of the target as a function of stimulus onset asynchrony (SOA).
552 **B.** Discrimination accuracy and visual awareness as a function of metacontrast
553 mask SOA. The metacontrast mask creates a characteristic U-shaped function of
554 performance vs. SOA. At shorter and longer SOAs, discrimination accuracy is
555 high, but it dips at intermediate SOAs. The same is true for visual awareness, but
556 the shape of the awareness masking function is not perfectly symmetrical with
557 respect to the performance masking function. That is, there are certain SOAs at
558 which forced choice performance is matched, but visual awareness differs

559 significantly (e.g. as illustrated in the SOAs of 33 ms and 100 ms). Such
560 performance-matched conditions could be used to investigate the functions of
561 consciousness. If some task can be performed better in the condition of higher
562 subjective visibility, it can plausibly be said to require visual awareness. Because
563 forced-choice discrimination accuracy is matched across the two conditions, the
564 superior performance of the task in the high visibility condition cannot be
565 attributed to a difference in signal strength. Figure adapted from Lau and
566 Passingham, 2006.

567

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